
Flavour physics – Lecture 2

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VIIIth SERC school on EHEP

VECC Kolkata – 30th June to 2nd July

Outline

- CKM again and its predictions
 - Charm, bottom and top discovery
- Mixing
- Types of CP violation
- Kaon physics
- Charm physics

CKM matrix

- Extension of Cabibbo's two by two mixing matrix
- Unitary complex matrix
 - 18 parameters
- Unitary constraints ($VV^\dagger=I$)
 - 9 parameters
- Quark fields can absorb five unobservable phases
 - 4 parameters
 - 3 mixing angle and 1 phase

$$(u \quad c \quad t) \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

**Responsible for
CP violation**

CKM matrix parameterisations

- 3 mixing angles ($\theta_{12}, \theta_{13}, \theta_{23}$) + one phase (δ)
 - PDG (Chau and Keung) – $s_{ij} = \sin \theta_{ij}$ and $c_{ij} = \cos \theta_{ij}$

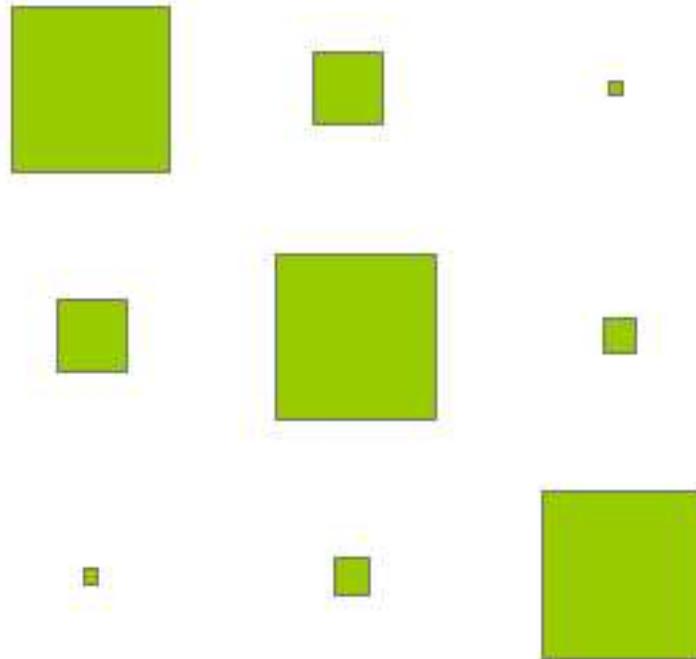
$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- $s_{12} \sim 0.2$ $s_{23} \sim 0.04$ $s_{13} \sim 0.004$
- However, Wolfenstein parameterisation that exploits this hierarchy and expands matrix in terms of $\lambda = s_{12}$
 - then $s_{23} = A\lambda^2$ and $s_{13}e^{i\delta} = A\lambda^3(\rho + i\eta)$

Wolfenstein parameterisation

$$V = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

Why the strong ranking?



Phase convention indep.

$$\begin{aligned} \bar{\rho} + i\bar{\eta} &= - \frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \\ &= \rho + i\eta + \mathcal{O}(\lambda^5) \end{aligned}$$

Unitarity

$$V^\dagger V = VV^\dagger = I$$

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

$$|V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 1$$

$$|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2 = 1$$

$$|V_{ud}|^2 + |V_{cd}|^2 + |V_{td}|^2 = 1$$

$$|V_{us}|^2 + |V_{cs}|^2 + |V_{ts}|^2 = 1$$

$$|V_{ub}|^2 + |V_{cb}|^2 + |V_{tb}|^2 = 1$$

$$V_{ud} V_{us}^* + V_{cd} V_{cs}^* + V_{td} V_{ts}^* = 0$$

$$V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0$$

$$V_{us} V_{ub}^* + V_{cs} V_{cb}^* + V_{ts} V_{tb}^* = 0$$

$$V_{ud} V_{cd}^* + V_{us} V_{cs}^* + V_{ub} V_{cb}^* = 0$$

$$V_{ud} V_{td}^* + V_{us} V_{ts}^* + V_{ub} V_{tb}^* = 0$$

$$V_{cd} V_{td}^* + V_{cs} V_{ts}^* + V_{cb} V_{tb}^* = 0$$

Unitarity triangles

Null relations can be expressed as triangles in complex plane

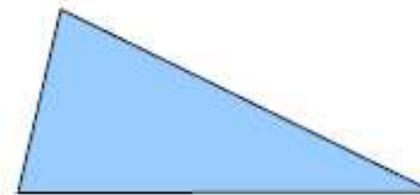
$$\begin{array}{c} V_{ud} V_{us}^* + V_{cd} V_{cs}^* + V_{td} V_{ts}^* = 0 \\ \lambda \quad \quad \lambda \quad \quad \lambda^5 \end{array}$$

Not to scale



$$\begin{array}{c} V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0 \\ \lambda^3 \quad \quad \lambda^3 \quad \quad \lambda^3 \end{array}$$

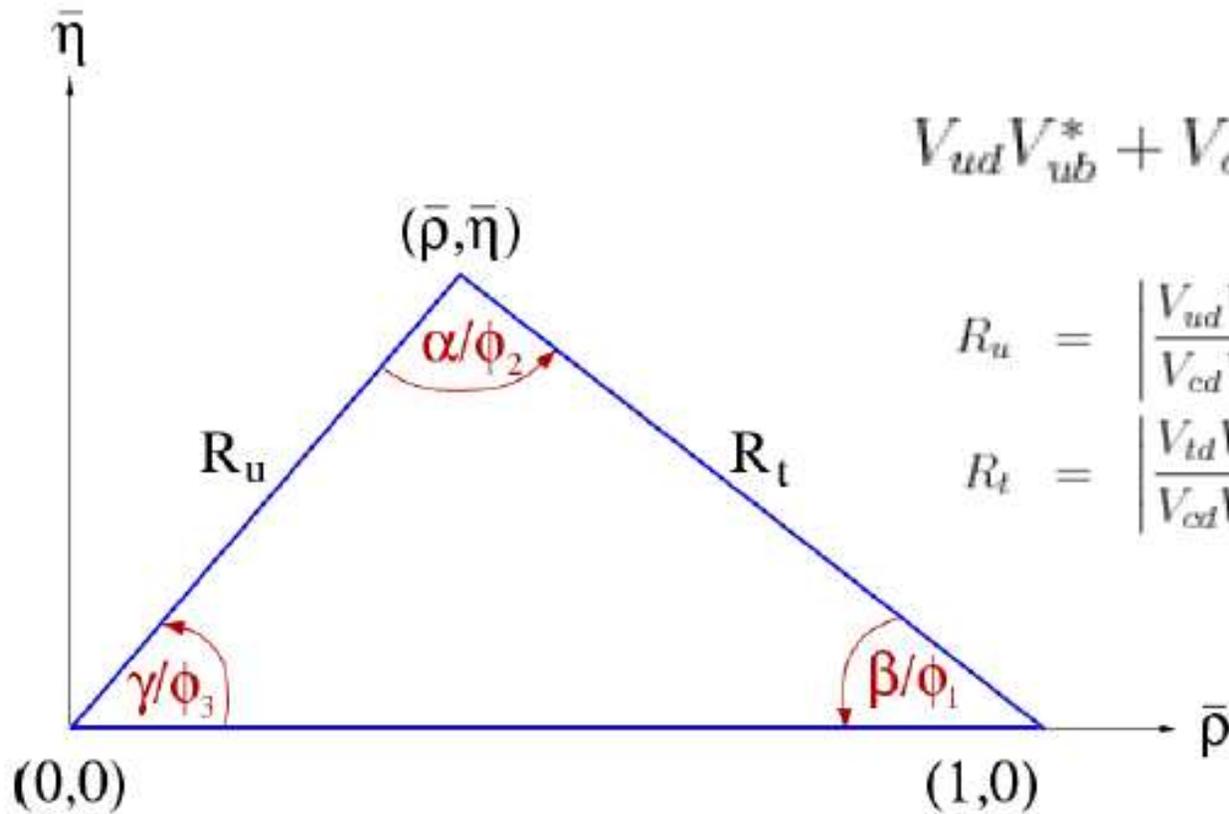
Area is the same



$$\begin{array}{c} V_{us} V_{ub}^* + V_{cs} V_{cb}^* + V_{ts} V_{tb}^* = 0 \\ \lambda^4 \quad \quad \lambda^2 \quad \quad \lambda^2 \end{array}$$



The unitarity triangle



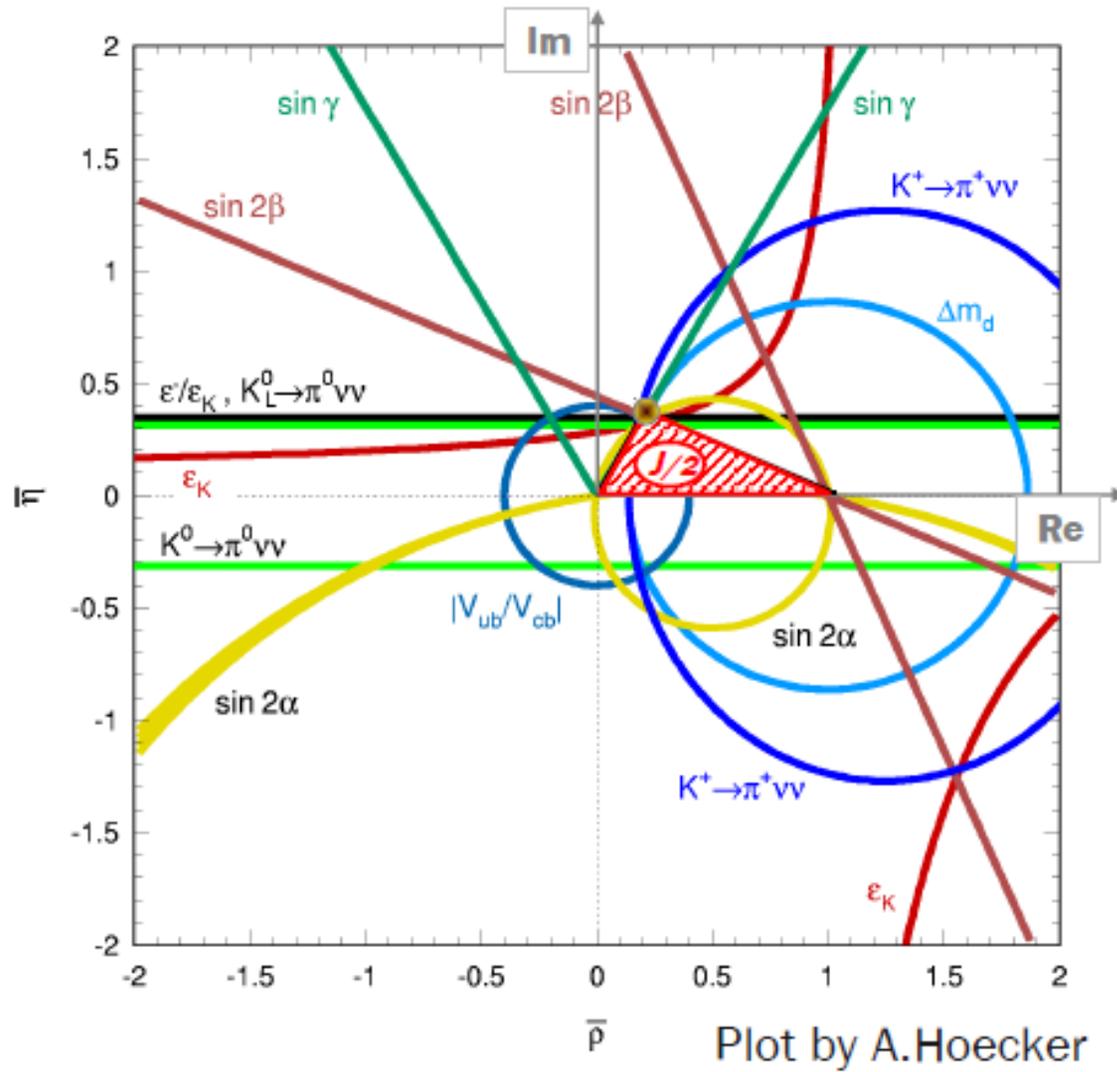
$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0,$$

$$R_u = \left| \frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right| = \sqrt{\bar{\rho}^2 + \bar{\eta}^2},$$

$$R_t = \left| \frac{V_{td}V_{tb}^*}{V_{cd}V_{cb}^*} \right| = \sqrt{(1 - \bar{\rho})^2 + \bar{\eta}^2}.$$

Predictive nature of KM mechanism

All measurements must agree



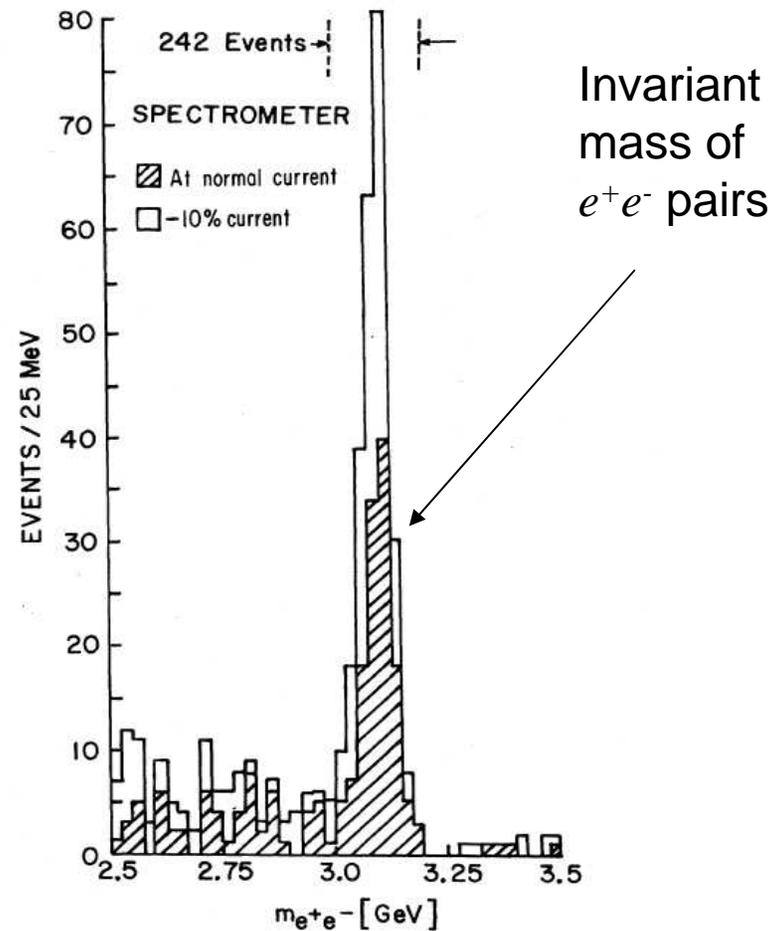
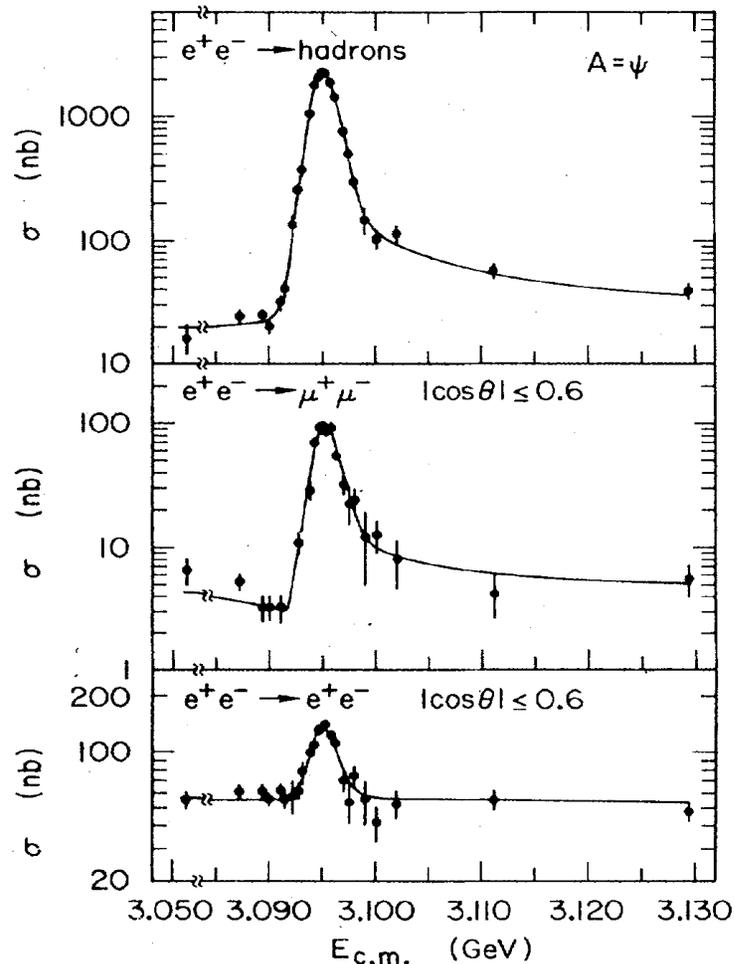
But the first KM (and GIM) predictions

- More quarks
- Discovery of charm then beauty (and finally top)
- Worth spending a little time as it highlights a couple of features of experiments
- Today principle interest now in onia is QCD
 - Exotic X, Y, Z states found at Babar and Belle
 - New states D-molecules, tetraquarks etc
 - Some states only just observed
 - h_b

Discovery of Charmonium

- The charm quark had been proposed in 1970 by Glashow, Iliopoulos and Maiani to explain the suppression of transitions involving flavour changing neutral currents such as $K^0 \rightarrow \mu\mu$ which $\Delta S=1$
 - Flavour changing charged currents had been observed since β decay which is $u \rightarrow d e^+ \nu_e$
- The first direct evidence for the charm quark came in 1974 from two different experiments:
 - e^+e^- collisions at a centre of mass energy $\sim 3.0 \text{ GeV}/c^2$
 - SPEAR collider at Stanford Linear Accelerator Center
 - p+Be fixed target experiment with 28 GeV protons
 - Brookhaven alternating-gradient synchrotron

Discovery of the J/ψ



Cross-section to different final states in e^+e^- collisions at $E_{c.m.} \sim 3.1$ GeV

$p + \text{Be} \rightarrow J/\psi + \text{anything}$
followed by $J/\psi \rightarrow e^+e^-$

More charmonium

- An additional resonance was soon observed at SLAC with a mass of 3686 MeV and was called the ψ' or $\psi(2S)$
- Similar arguments to those used for the J/ψ led to the same assignment of quantum numbers: $J^{PC}=1^{--}$, $l=0$
- The ψ' is narrow $\Gamma=0.28$ MeV but there were new decay modes observed:
 - $\psi' \rightarrow J/\psi + 2\pi$ ($BR=50\%$)
 - $\psi' \rightarrow \chi + \gamma$ ($BR=24\%$)

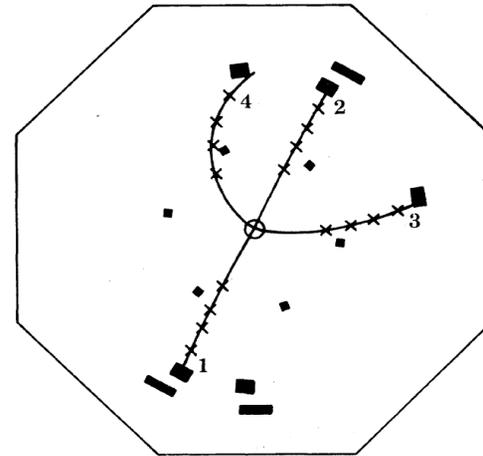
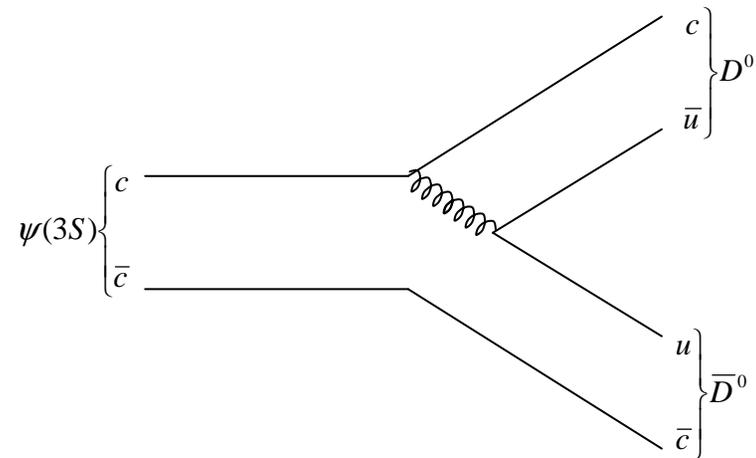
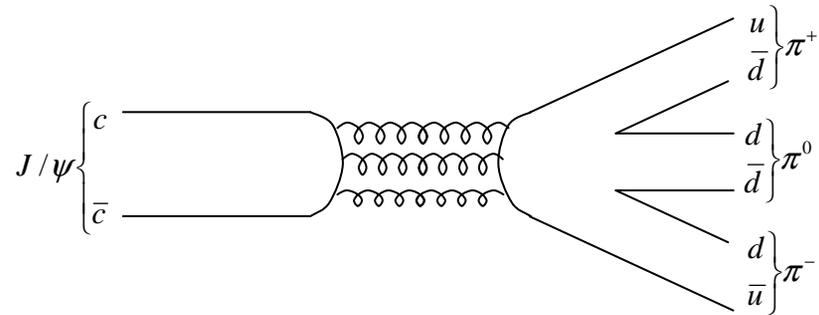


FIG. 3. An example of the decay $\psi(3684) \rightarrow \pi^+ + \pi^- + \psi(3095)$, where $\psi(3095) \rightarrow e^+ + e^-$, from an off-line reconstruction of the data. The event is seen in the x - y projection where z is the beam (and magnetic field) direction. Also shown are the trigger and shower counters which detected the tracks. Tracks 3 and 4 are the slow pions and tracks 1 and 2 are the two leptons from $\psi(3095)$ decay.

- There were a further 4 states observed labelled $\psi(3S)$, $\psi(4S)$ and $\psi(5S)$ – but their widths were much greater between 24 and 78 MeV

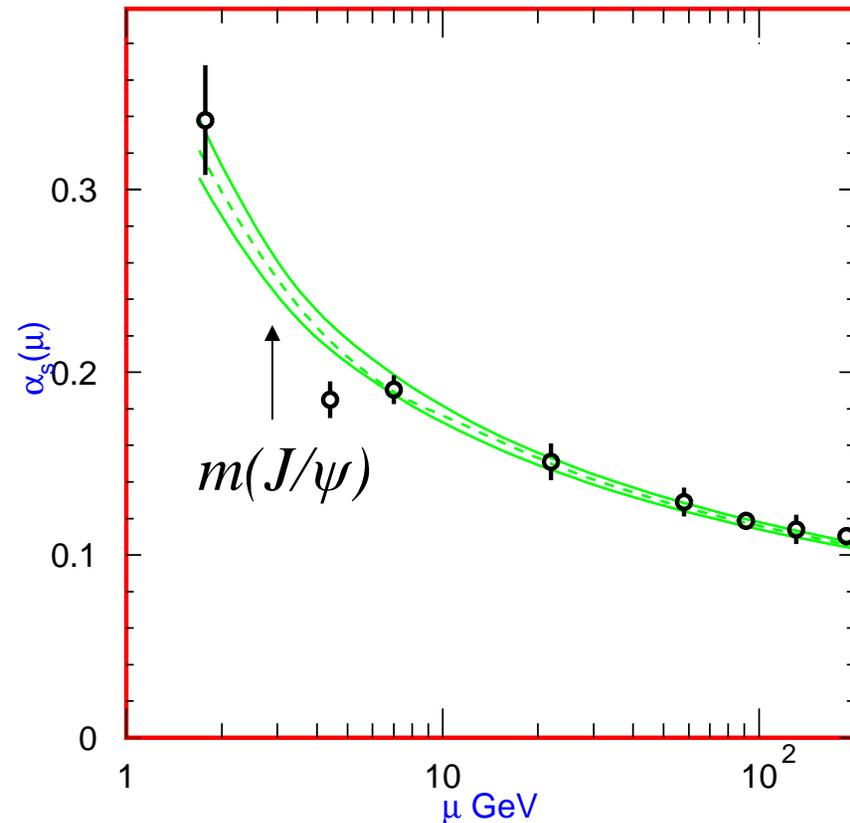
Decays of the charmonium

- Allowed hadronic final states governed by kinematics
 $m(J/\psi) < m(\psi') < 2m(D) < m(\psi(3S))$
- Strong decay of the J/ψ is via the annihilation of the charm and anti-charm quarks to 3 gluons
 - An odd number of gluons is required to conserve C
 - Gluon and J/ψ both have $C=-1$
 - A single gluon is not colourless therefore is not colour charge conserving
 - α_s^6 dependence
- Strong decay of $\psi(3S)$ is a single gluon radiated to form D meson pair
 - α_s^2 dependence



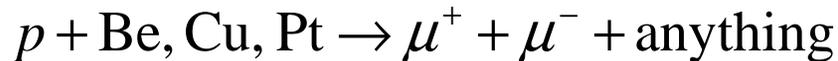
Running of the strong coupling

- Additional factor comes the strength of the strong force varying rapidly as a function of the momentum transfer of the interaction μ
- The coupling is much stronger for a $\mu \sim m(u/d)$ than for $\mu \sim m(J/\psi)$

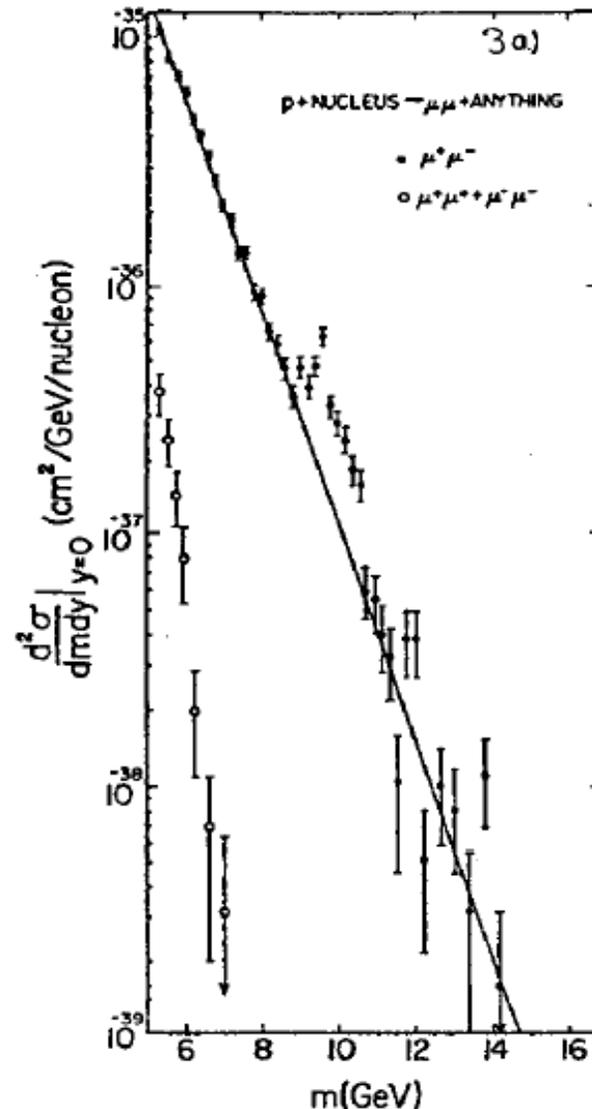


Bottomonium

- In 1977 there were a similar set of resonances observed in the centre of mass region 9.5-10.5 GeV
- Observed in interactions of proton beam on fixed targets:

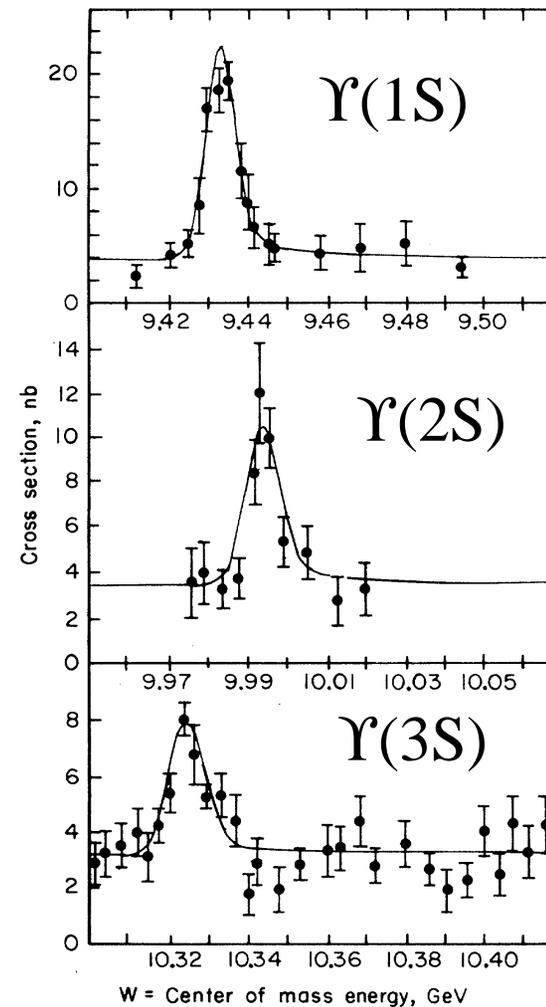


- Resolution of 500 MeV but width of resonance 1.2 GeV suggested it might be several resonances



Bottomonium

- Resonances were then observed in e^+e^- collisions and they were resolved into three narrow states $\Upsilon(1S)$, $\Upsilon(2S)$ and $\Upsilon(3S)$
- A broader resonance $\Upsilon(4S)$ that decays into B meson pairs was also found
- Associated with bound states of b quarks and anti-quarks with $\Upsilon(4S)$ the first resonance to be heavier than 2 B mesons



Mixing

- For neutral particles with opposite flavour quantum numbers (i.e. K^0 and $K^0\bar{}$) CPT theorem requires:
 - Equal masses
 - Equal lifetimes
- However, mixed states K_S and K_L can have different masses and widths

Mixing formalism

Arbitrary mixture of B^0 and \bar{B}^0

$$p|B^0\rangle + q|\bar{B}^0\rangle$$

Evolution governed by time-dependent Schrodinger equation

$$i\frac{\partial}{\partial t}\begin{pmatrix} p \\ q \end{pmatrix} = H\begin{pmatrix} p \\ q \end{pmatrix} = \left(M - \frac{i}{2}\Gamma\right)\begin{pmatrix} p \\ q \end{pmatrix}$$

H is Hamiltonian

M and Γ are 2×2 Hermitian matrices

Encapsulate the perturbative weak effects that lead to mixing

CPT requires the diagonal elements of M and Γ are equal

Mixing formalism

Heavy and light mass eigenstates

$$|B_L\rangle = p|B\rangle + q|\bar{B}\rangle$$

$$|B_H\rangle = p|B\rangle - q|\bar{B}\rangle,$$

Eigenvalue equation gives

$$\frac{q}{p} = \sqrt{\frac{M_{12}^* - \frac{i}{2}\Gamma_{12}^*}{M_{12} - \frac{i}{2}\Gamma_{12}}},$$

$$\lambda_{H,L} = m_{H,L} - \frac{i}{2}\Gamma_{H,L},$$

Where

$$m_H, m_L = M \pm \text{Re} \sqrt{|M_{12}|^2 - \frac{|\Gamma_{12}|^2}{4} - i \text{Re}(M_{12}\Gamma_{12}^*)} \equiv M \pm \Delta m/2,$$

$$\Gamma_H, \Gamma_L = \Gamma \pm 2\text{Im} \sqrt{|M_{12}|^2 - \frac{|\Gamma_{12}|^2}{4} - i \text{Re}(M_{12}\Gamma_{12}^*)} \equiv \Gamma \pm \Delta\Gamma/2,$$

Mixing formalism

Mass and width difference related
To off diagonal elements

$$\Delta m^2 - \frac{\Delta\Gamma^2}{4} = 4 \left(|M_{12}|^2 - \frac{|\Gamma_{12}|^2}{4} \right)$$

$$\Delta m \Delta\Gamma = 4 \operatorname{Re}(M_{12}\Gamma_{12}^*).$$

$$|B(t)\rangle = \frac{1}{2p} (|B_L(t)\rangle + |B_H(t)\rangle)$$

Can express time-evolution
of flavour state in terms of
mass and width parameters

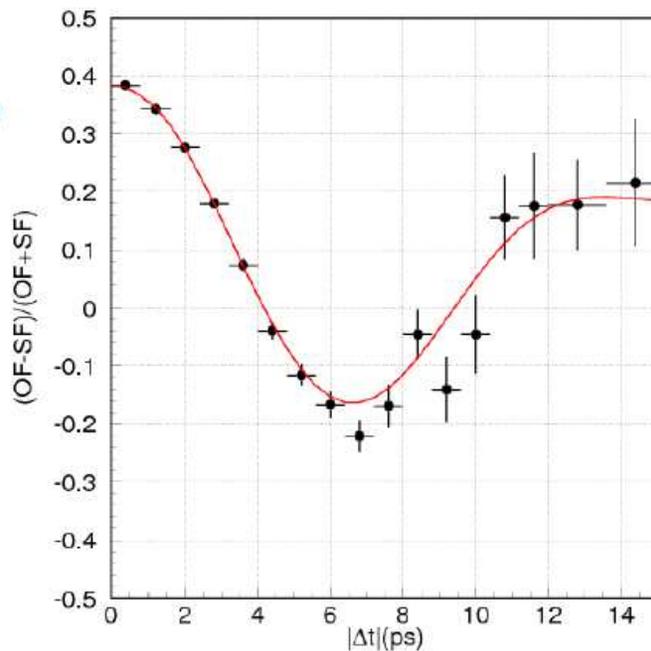
$$= \frac{1}{2} e^{-iMt} e^{-\frac{\Gamma}{2}t} \left(\left(e^{\frac{\Delta\Gamma}{4}t} e^{i\frac{\Delta m}{2}t} + e^{-\frac{\Delta\Gamma}{4}t} e^{-i\frac{\Delta m}{2}t} \right) |B\rangle \right. \\ \left. + \frac{q}{p} \left(e^{\frac{\Delta\Gamma}{4}t} e^{i\frac{\Delta m}{2}t} - e^{-\frac{\Delta\Gamma}{4}t} e^{-i\frac{\Delta m}{2}t} \right) |\bar{B}\rangle \right).$$

Similar for Bbar

Mixing formalism

■ Probability to mix

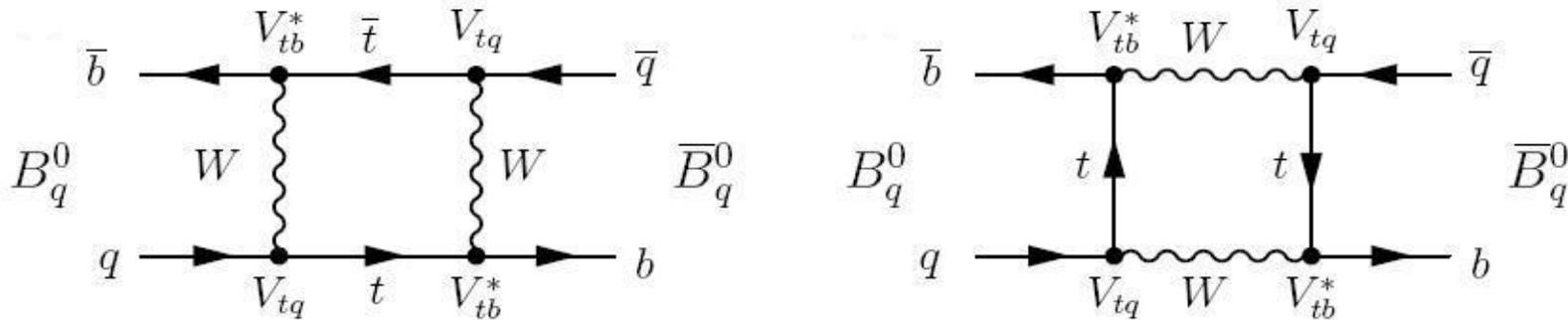
$$P_m^B(t) = \frac{|q/p|^2 e^{-\Gamma t}}{\Gamma \left(\frac{1+|q/p|^2}{\Gamma^2 - \Delta\Gamma^2/4} + \frac{1-|q/p|^2}{\Gamma^2 + \Delta m^2} \right)} \left(\cosh \frac{\Delta\Gamma}{2} t - \cos \Delta m t \right)$$



Techniques next
Lecture but plot
From Belle clearly
Shows mixing

$$\Delta\Gamma \sim 0 \quad \Delta M = 0.5 \text{ ps}^{-1}$$

Mixing



Feynman box diagrams for B mixing.

Weak box diagrams drive mixing

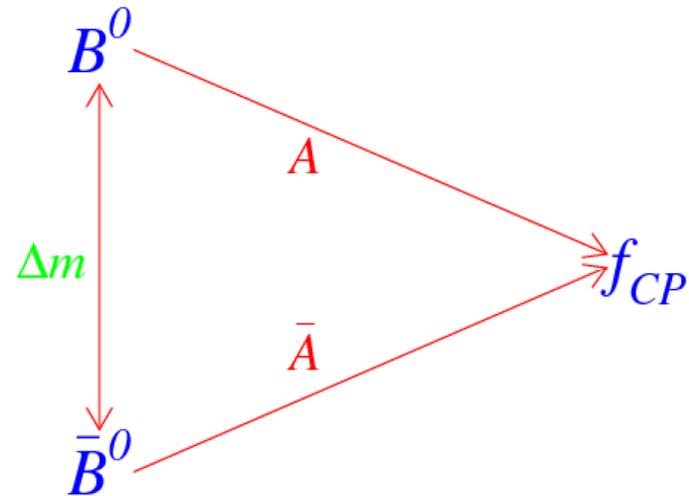
Sensitive to CKM elements V_{td} (V_{ts}) for B (B_s) mixing

More later on relating to unitarity triangle

Types of CP violation

- Consider decay of neutral particle to a CP eigenstate

$$\lambda_{CP} = \frac{q}{p} \frac{\bar{A}}{A}$$



$$\left| \frac{q}{p} \right| \neq 1$$

CP violation in mixing

$$\left| \frac{\bar{A}}{A} \right| \neq 1$$

CP violation in decay (direct CPV)

$$\Im \left(\frac{q}{p} \frac{\bar{A}}{A} \right) \neq 0$$

CP violation in interference between mixing and decay

CP violation kaons

- CP violation in neutral kaon system due to $|p/q|=(1+\epsilon)/(1-\epsilon)\neq 1$ and possibility of in decay (ϵ')
- Observables studied

$$A_L = \frac{\Gamma(K_L^0 \rightarrow \pi^- \ell^+ \nu) - \Gamma(K_L^0 \rightarrow \pi^+ \ell^- \nu)}{\Gamma(K_L^0 \rightarrow \pi^- \ell^+ \nu) + \Gamma(K_L^0 \rightarrow \pi^+ \ell^- \nu)} = 2\text{Re } \epsilon / (1 + |\epsilon|^2) \approx 2\text{Re } \epsilon$$

$$\eta_{+-} = A(K_L^0 \rightarrow \pi^+ \pi^-) / A(K_S^0 \rightarrow \pi^+ \pi^-) \quad |\epsilon| = (2.228 \pm 0.011) \times 10^{-3},$$

$$= |\eta_{+-}| e^{i\phi_{+-}} = \epsilon + \epsilon'$$

PDG values

$$\eta_{00} = A(K_L^0 \rightarrow \pi^0 \pi^0) / A(K_S^0 \rightarrow \pi^0 \pi^0)$$

$$= |\eta_{00}| e^{i\phi_{00}} = \epsilon - 2\epsilon'$$

$$\epsilon'/\epsilon = (1.65 \pm 0.26) \times 10^{-3}$$

Direct CP violation

- New superweak force not KM gives CP (Wolfenstein)
- There would only be CPV in K mixing and not in other system
- Long search in kaon physics to sort this out
 - NA48 and KTeV to measure

– if CPV in $K_L \rightarrow \pi^+\pi^- \neq$ CPV in $K_L \rightarrow \pi^0\pi^0 \leftrightarrow$ CPV cannot be in mixing only

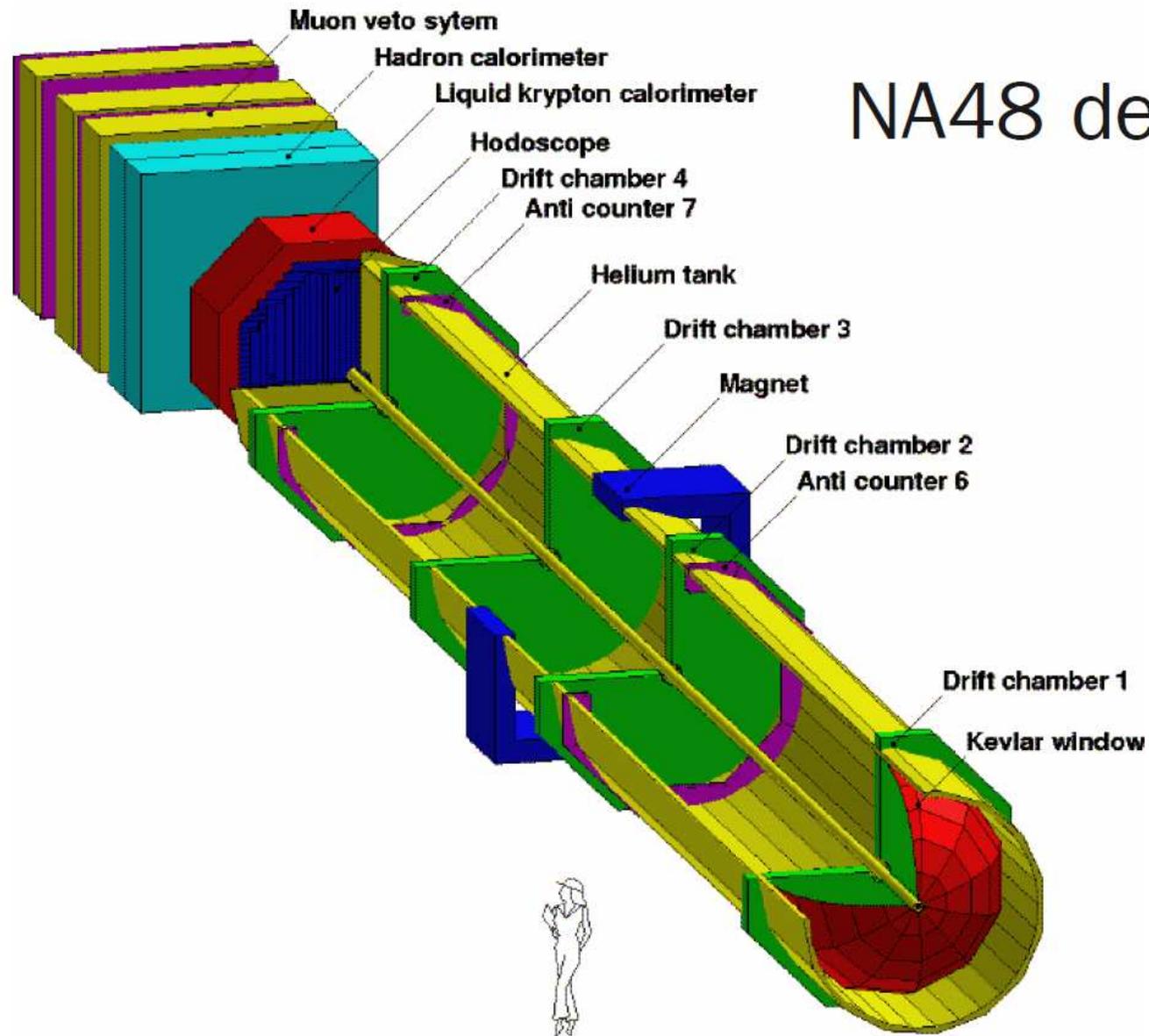
$$R = (K_L \rightarrow \pi^0\pi^0)/(K_S \rightarrow \pi^0\pi^0)/(K_L \rightarrow \pi^+\pi^-)/(K_S \rightarrow \pi^+\pi^-)$$

$$R = 1 - 6 \operatorname{Re}(\epsilon'/\epsilon)$$

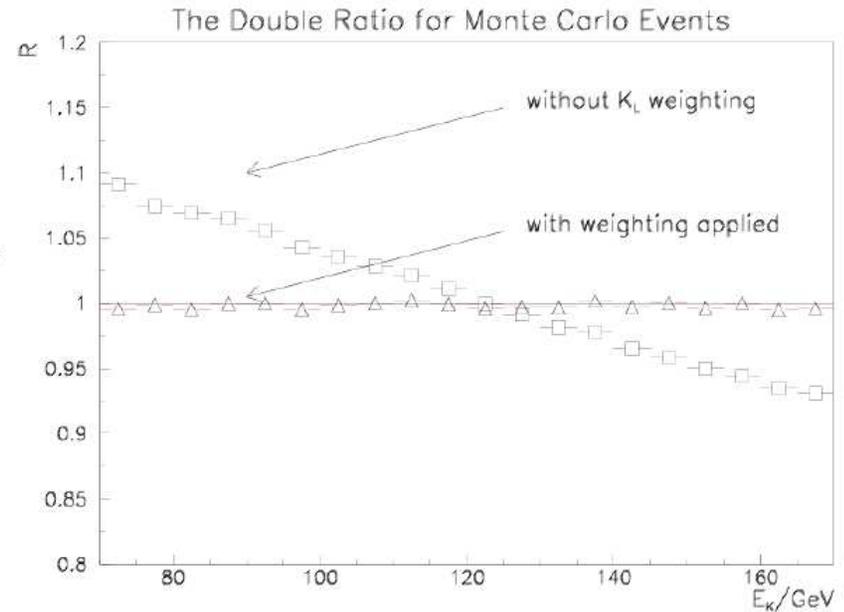
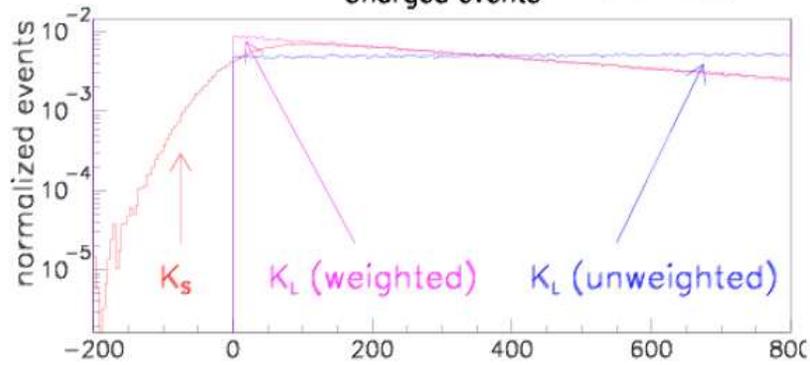
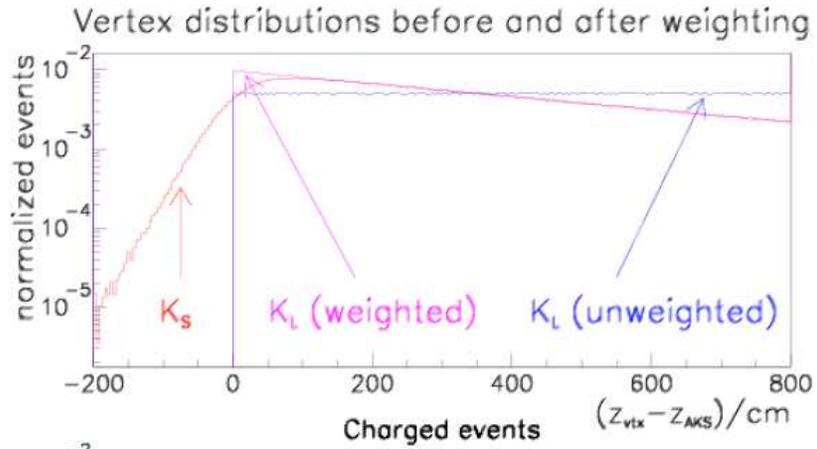
NA48 technique

- Precise measurement – need control of systematic errors
 - use simultaneous K_L and K_S beams
 - take data in all four modes together
 - make acceptance as similar as possible
 - perform analysis in bins on kaon energy
 - correct for differences in K_L and K_S energy spectra
 - weight K_L events according to K_S decay distribution

NA48 detector



NA48 – K_L weighting

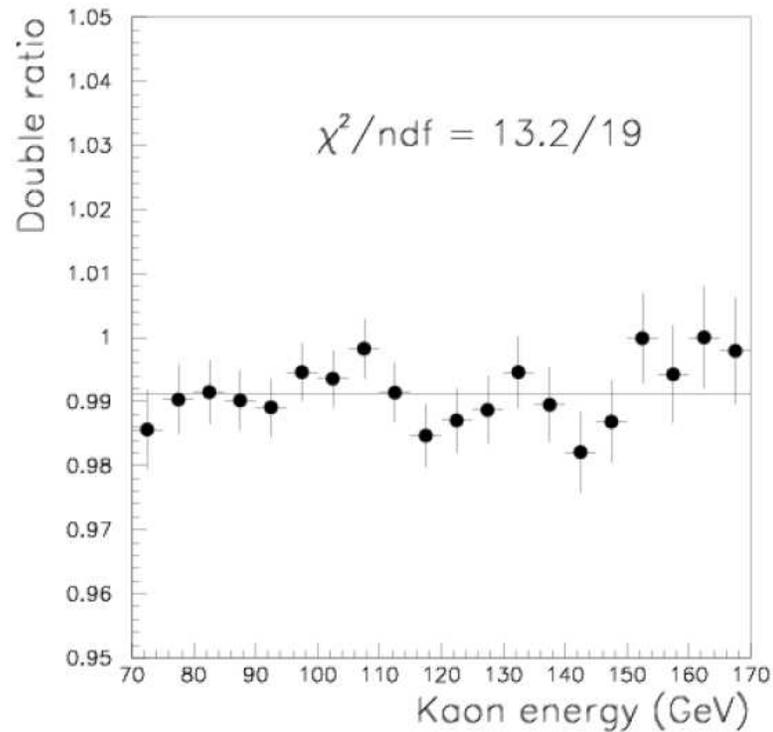


Increase in statistical error $\sim 40\%$

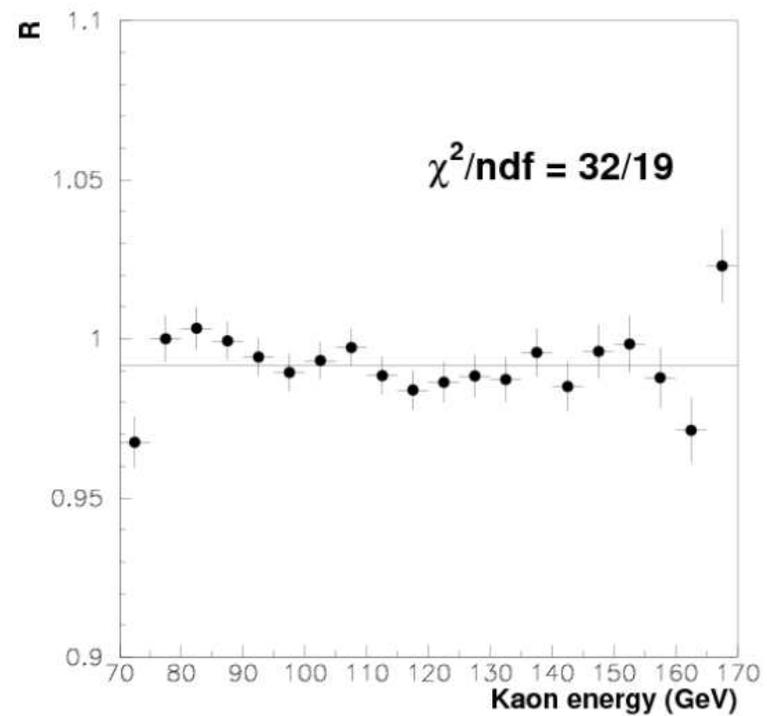
NA48 result

$$\text{Re}(\epsilon'/\epsilon) = (14.7 \pm 2.2) \times 10^{-4}$$

1997-1999 data set

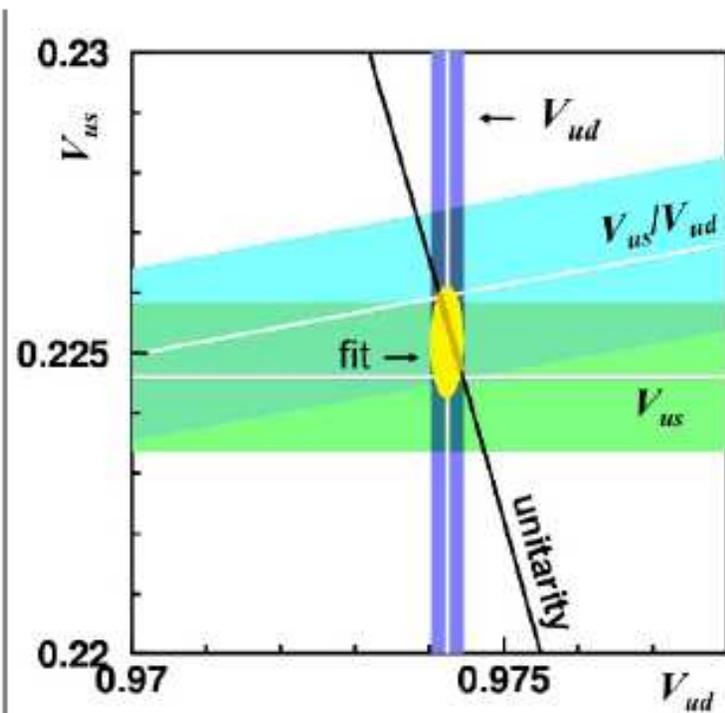


2001 data set



Current and future kaon physics

■ Precision measurements of $|V_{us}|$



We use $f_+(0) = 0.9644(49)$, $f_K/f_\pi = 1.189(7)$

from RBC/UKQCD '07

Now can fit:

- V_{us} from $Kl3$
- V_{us}/V_{ud} from $K_{\mu 2}/\pi_{\mu 2}$
- V_{ud} from β decay

M.Palutan at Kaon'09
see also
<http://ific.uv.es/flavianet/>

KLOE, KTeV,
BNL E685,
ISTRA+, NA48

New survey of
superaligned $0^+ \rightarrow 0^+$ β decays
J.Hardy & I.Towner,
PRC 79 (2009) 055502

$$V_{ud} = 0.97424(22)$$

$$V_{us} = 0.2252(9)$$

$$\chi^2/\text{ndf} = 0.52/1 \text{ (47\%)}$$

$$V_{ud}^2 + V_{us}^2 - 1 = -0.0001(6)$$

Future kaon physics

- “Holy grail” of kaon physics : rare decays $K \rightarrow \pi \nu \bar{\nu}$
 - Allow precise measurements of CKM matrix parameters
 - Sensitive to new physics effects
 - **Extraordinarily challenging experimentally**
 - $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
 - Current measurement $(1.7 \pm 1.1) \cdot 10^{-10}$ BNL-E949 (expectation $0.9 \cdot 10^{-10}$)
 - NA62 experiment (CERN) will observe $O(100)$ SM events
 - $K^0 \rightarrow \pi^0 \nu \bar{\nu}$
 - Current UL $< 6.7 \cdot 10^{-8}$ KEK-E391 (expectation $2.5 \cdot 10^{-11}$)
 - KOTO experiment (JPARC) will reach SM sensitivity

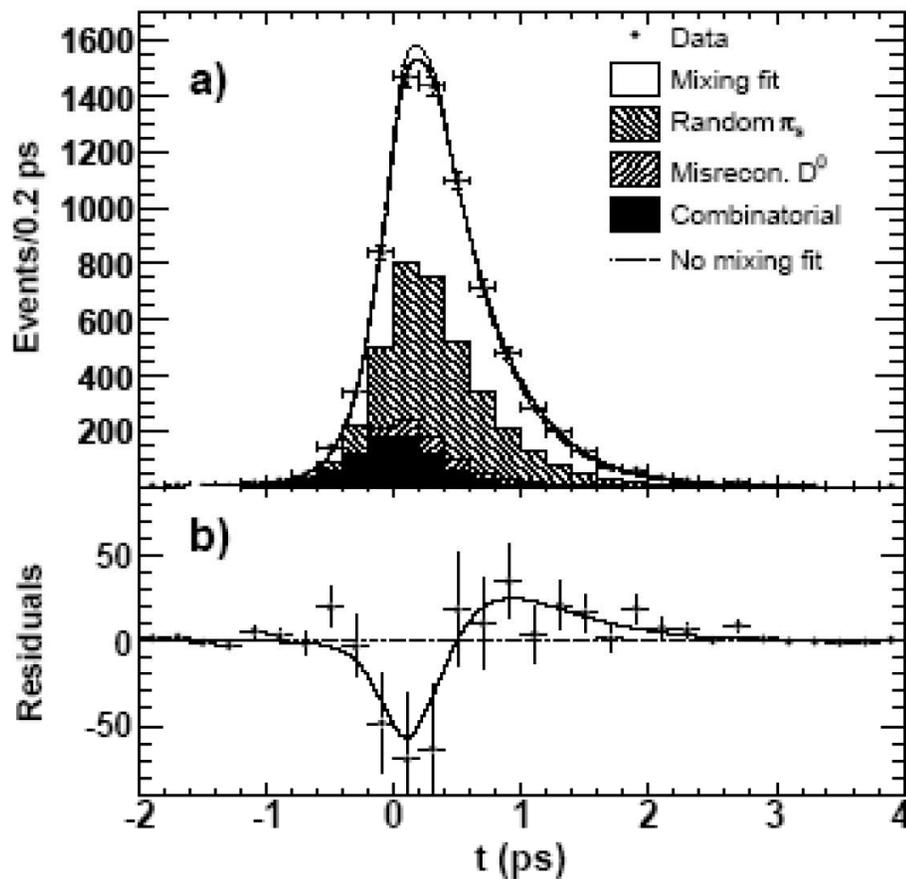
Rate prop.
to. η
- Height of UT

Charm physics

- Mixing has down type quarks in the box
- Only place this can be studied as top quark does not hadronise
- Also, SM CPV very small
 - Any CPV is new physics
- B-factories have produced lots of Ds and observed D-mixing
 - May yet see CPV
 - Big target of flavour physics at the LHC

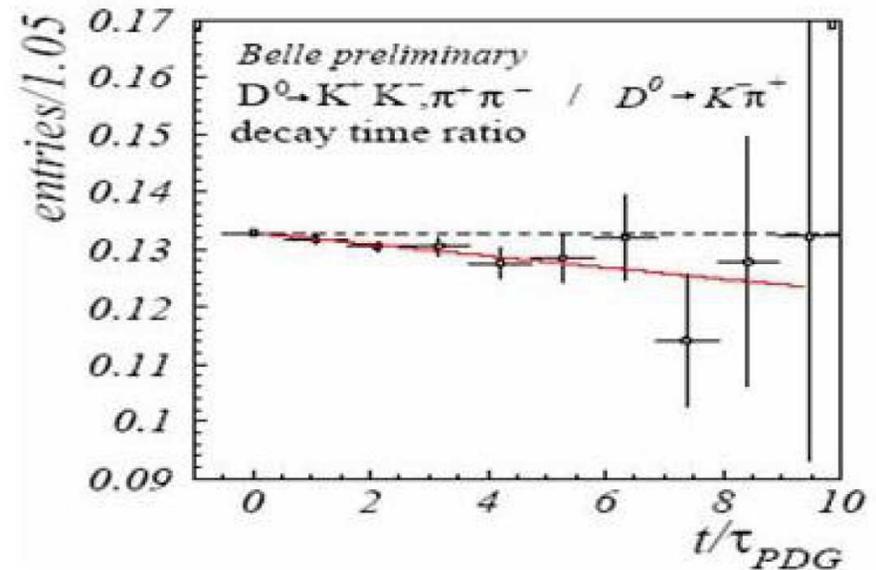
Measurements

BABAR $D^0 \rightarrow K\pi$
PRL 98 (2007) 211802



3.9 σ significance

BELLE $D^0 \rightarrow KK$
PRL 98 (2007) 211803



3.2 σ significance

Also:

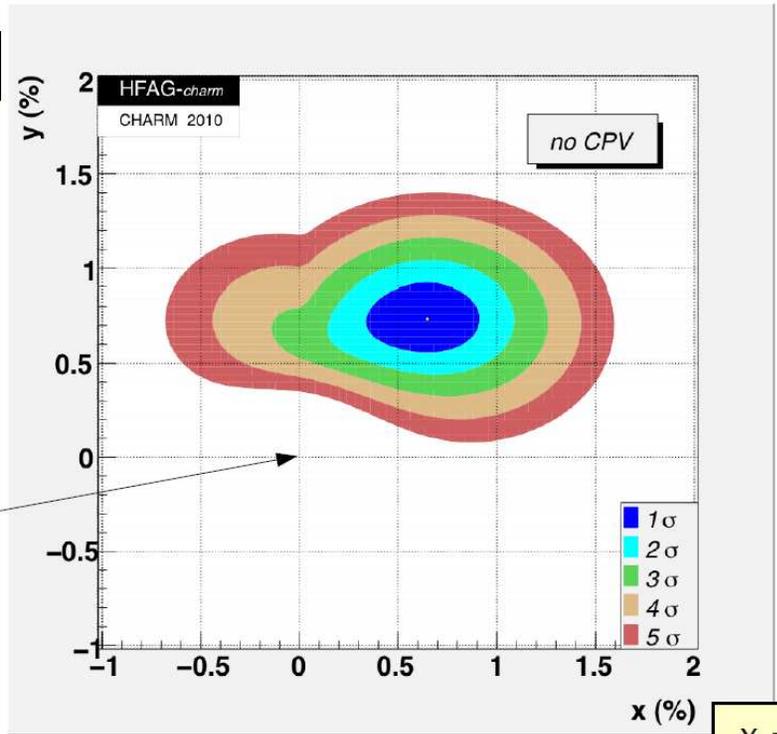
BELLE $D^0 \rightarrow K_s \pi \pi$ PRL 99 (2007) 131803

CDF $D^0 \rightarrow K\pi$ PRL100 (2008) 121802

and others ...

Combination of measurements

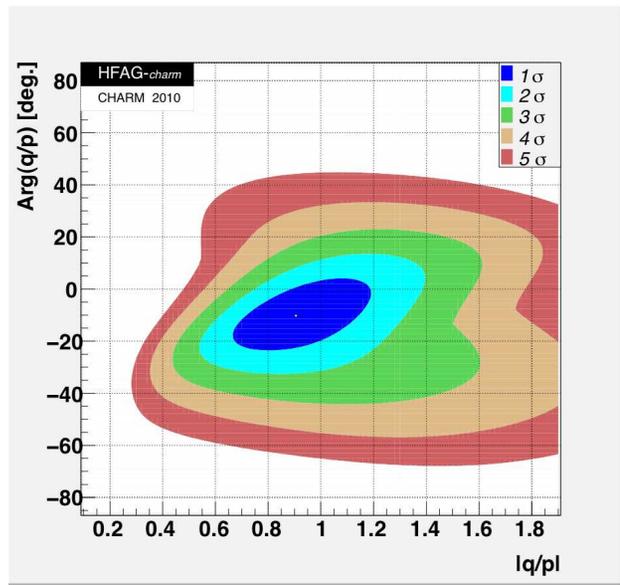
$$y = \Delta\Gamma/2\Gamma$$



No mixing

Still no single measurement with >5 sigma significance of mixing ...

$$x = \Delta m/\Gamma$$



Mixing confirmed but no CPV in mixing

Lecture 2 - summary

- CKM matrix and the KM mechanism
- Prediction of c and b their discovery
- Neutral meson mixing
- Different types of CPV
 - Kaon CPV - yes
 - Charm CPV - no